

32692

Customer Number

Patent  
Case No.: 58973US003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: CARPENTER, JAMES B.

Application No.: Group Art Unit: Unknown

Filed: Herewith Examiner: Unknown

Title: DEVICE FOR GRIPPING OPTICAL FIBERS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Filing of Papers and Fees by Express Mailing**

Pursuant to 37 CFR § 1.10, this application and the documents and fees listed on this transmittal letter are being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

March 2, 2004

EV 403680949 US

Date

Express Mail Label No.

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13 3723.

Respectfully submitted,

March 2, 2004  
Date

By:

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Office of Intellectual Property Counsel  
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Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets if necessary)

Page 1 of 1

Application Number

Filing Date

Herewith

First Named Inventor

James B. Carpenter

Art Unit

Unknown

Examiner Name

Unknown

Attorney Case Number

58973US003

## U.S. Patent Documents

| Exam.<br>Init.* | Cite<br>No. | Document Number             | Publication Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------|-------------|-----------------------------|--------------------------------|--|---|
|                 |             | Number-Kind Code (if known) |                                |  |   |
|                 | A1          | US- 4,824,197               | 04-25-1989                     | Patterson  |   |
|                 | A2          | US- 5,102,212               | 04-07-1992                     | Patterson  |   |
|                 | A3          | US- 5,138,681               | 08-11-1992                     | Larson et al                                       |   |
|                 | A4          | US- 5,155,787               | 10-13-1992                     | Carpenter et al                                    |   |
|                 | A5          | US- 5,159,653               | 10-27-1992                     | Carpenter et al                                    |   |
|                 | A6          | US- 5,337,390               | 08-09-1994                     | Henson et al                                       |   |
|                 | A7          | US- Re. 36,146              | 03-16-1999                     | Novack et al                                       |   |
|                 | A8          | US-                         |                                |  |   |
|                 | A9          | US-                         |                                |  |   |
|                 | A10         | US-                         |                                |  |   |
|                 | A11         | US-                         |                                |  |   |
|                 | A12         | US-                         |                                |  |   |
|                 | A13         | US-                         |                                |  |   |

## Foreign Patent Documents

| Exam.<br>Init.* | Cite<br>No. | Foreign Patent Document |                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | Translation<br>(Check if yes) |
|-----------------|-------------|-------------------------|----------------------------|--------------------------------|--|---|-------------------------------|
|                 |             | Ctry. Code              | Number-KindCode (if known) |                                |  |   |                               |
|                 | B1          |                         |                            |                                |  |   |                               |
|                 | B2          |                         |                            |                                |  |   |                               |
|                 | B3          |                         |                            |                                |  |   |                               |
|                 | B4          |                         |                            |                                |  |   |                               |
|                 | B5          |                         |                            |                                |  |   |                               |

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

|                 |             |  |
|-----------------|-------------|--|
| Exam.<br>Init.* | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
|                 | C1          |  |
|                 | C2          |  |
|                 | C3          |  |

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.